

# Spectral properties of cubic complex Pisot units

Tomáš Hejda  
(joint work with Edita Pelantová)

FNSPE, Czech Technical University in Prague  
LIAFA, Univ. Paris Diderot

Numeration and Substitution  
Debrecen, July 2014

Acknowledged support:  
Czech Science Foundation grant 13-03538S  
Grant Agency of the Czech Technical University in Prague grant SGS14/205/OHK4/3T/14

# Outline

- ▶ Goal: Study the set

$$X^m(\gamma) = \{a_0 + a_1\gamma + a_2\gamma^2 + \cdots + a_n\gamma^n : a_i \in \{0, 1, \dots, m\}\}$$

for complex  $\gamma$

- ▶ Results:

- ▶ Strong necessary condition for relative denseness
- ▶ Minimal and maximal distances in  $X^m(\gamma)$  for many cases

- ▶ Tools:

- ▶ Beta-numeration
- ▶ Cut-and-project sets

## Delone sets

- In the real case  $\beta > 1$ :  $X^m(\beta) = \{x_0 = 0 < x_1 < x_2 < \dots\}$  we put:

$$\ell_m(\beta) := \liminf(x_{j+1} - x_j) \quad L_m(\beta) := \limsup(x_{j+1} - x_j)$$



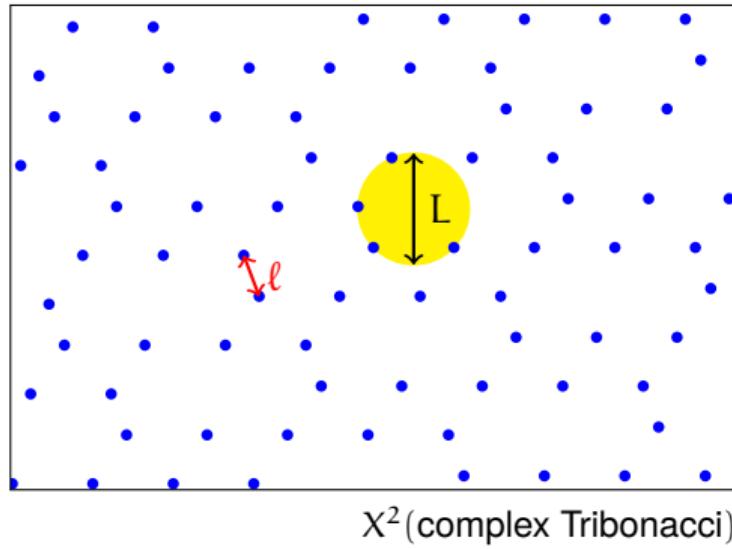
## Delone sets

- In the real case  $\beta > 1$ :  $X^m(\beta) = \{x_0 = 0 < x_1 < x_2 < \dots\}$  we put:

$$\ell_m(\beta) := \liminf(x_{j+1} - x_j) \quad L_m(\beta) := \limsup(x_{j+1} - x_j)$$

- In the complex case:

- Uniformly discrete ...  $\ell > 0$
- Relatively dense ...  $L < \infty$
- Delone set ... both



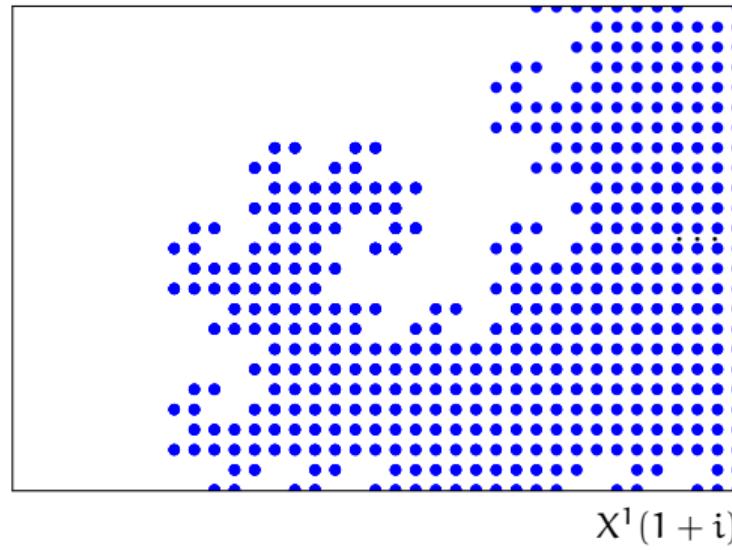
## Delone sets

- ▶ In the real case  $\beta > 1$ :  $X^m(\beta) = \{x_0 = 0 < x_1 < x_2 < \dots\}$  we put:

$$\ell_m(\beta) := \liminf(x_{j+1} - x_j) \quad L_m(\beta) := \limsup(x_{j+1} - x_j)$$

- ▶ In the complex case:

- ▶ Uniformly discrete ...  $\ell > 0$
- ▶ Relatively dense ...  $L < \infty$
- ▶ Delone set ... both

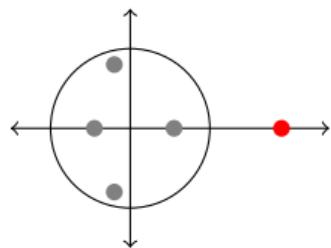


## Overview – real case $\beta > 1$

- ▶ Erdős, Joó, Komornik, Loreti, Pedicini, Bugeaud, Feng, Wen, Borwein, Hare, ...

The set  $X^m(\beta)$  is:

	$\beta$ Pisot	$\beta$ non-Pisot
$m+1 \gg \beta$	uniformly discrete relatively dense	not uniformly discrete relatively dense
$m+1 > \beta$	uniformly discrete relatively dense	not uniformly discrete relatively dense
$m+1 < \beta$	uniformly discrete not relatively dense	uniformly discrete not relatively dense

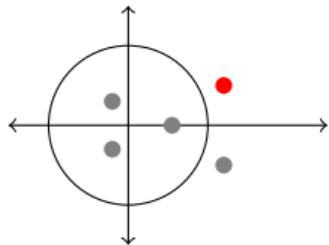


## Overview – complex case

- Zaïmi

The set  $X^m(\gamma)$  is:

	$\gamma$ complex Pisot	$\gamma$ complex non-Pisot
$m + 1 \gg  \gamma ^2$	uniformly discrete relatively dense	not uniformly discrete relatively dense
$m + 1 >  \gamma ^2$	uniformly discrete ???	not uniformly discrete ???
$m + 1 <  \gamma ^2$	uniformly discrete ???	uniformly discrete ???

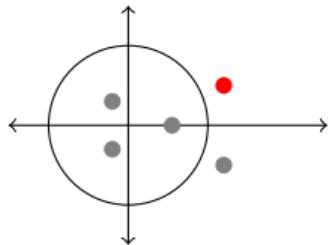


# Results I

- Zaïmi, Hejda, Pelantová

The set  $X^m(\gamma)$  is:

	$\gamma$ complex Pisot	$\gamma$ complex non-Pisot
$m + 1 \gg  \gamma ^2$	uniformly discrete relatively dense	not uniformly discrete relatively dense
$m + 1 >  \gamma ^2$	uniformly discrete (relatively dense)	not uniformly discrete ???
$m + 1 <  \gamma ^2$	uniformly discrete not relatively dense	uniformly discrete not relatively dense



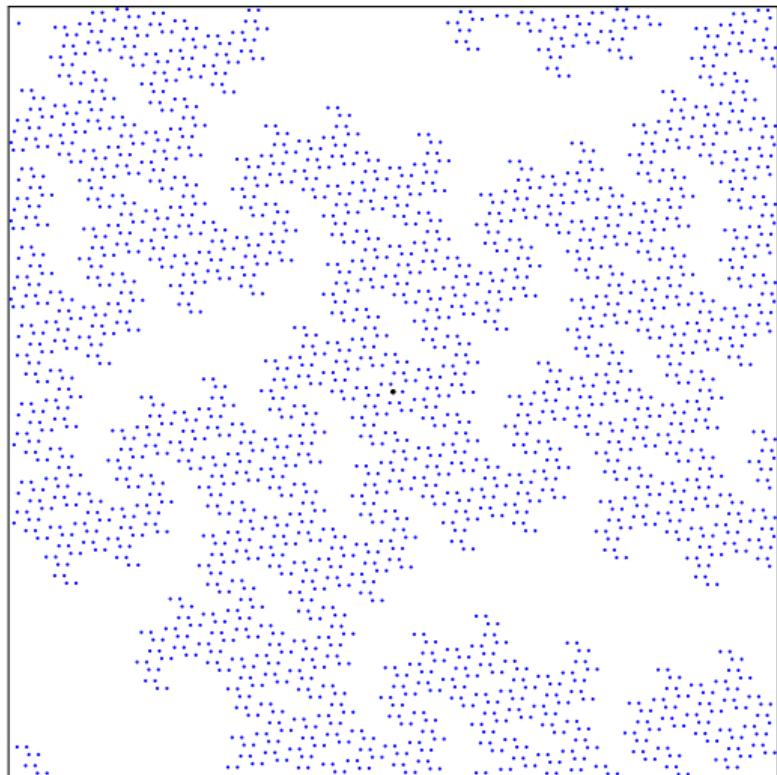
## Results II

### Theorem

Let  $\gamma$  be non-real,  $|\gamma| > 1$ . Let  $m \in \mathbb{N}$  be such that  $m + 1 < |\gamma|^2$ . Then  $X^m(\gamma)$  is not relatively dense.

Proof ideas:

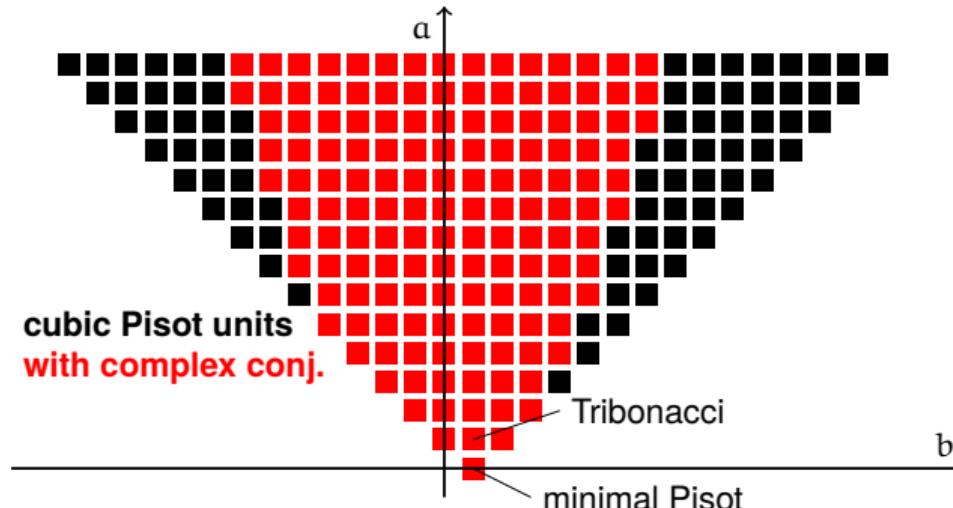
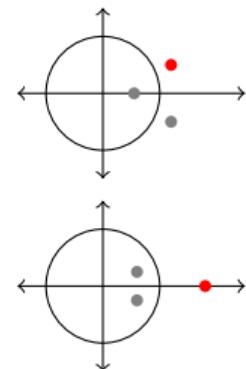
- ▶  $f(r) := \#(X^m(\gamma) \cap B(0, r))$
- ▶ We show that  
 $f(|\gamma|r - m) \leq (m + 1)f(r)$
- ▶ Therefore  
 $f(r)/r^2 \rightarrow 0$  when  $r \rightarrow \infty$



$$X^1(\gamma), \quad \gamma^3 = -2\gamma^2 - \gamma + 1, \quad |\gamma|^2 \approx 2.147$$

# Cubic complex Pisot numbers

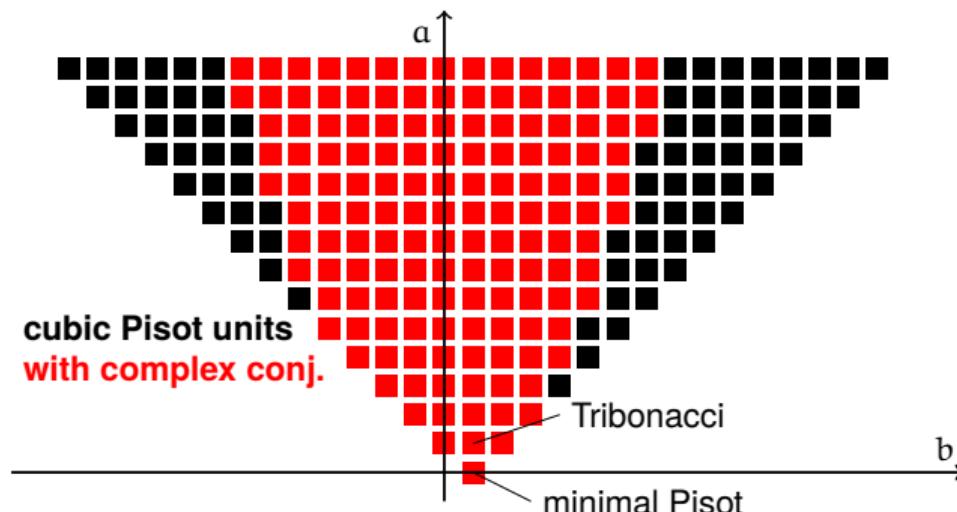
- ▶  $\gamma \in \mathbb{C} \setminus \mathbb{R}$  is cubic complex Pisot unit:
  - ▶ root of  $X^3 + bX^2 + aX - 1$ ,  $a, b \in \mathbb{Z}$  such that  $|\gamma| > 1$
  - ▶ three roots:  $\gamma \in \mathbb{C}$ ,  $\bar{\gamma} \in \mathbb{C}$ ,  $\gamma' \in \mathbb{R}$
  - ▶  $0 < \gamma' < 1$
  - ▶  $\beta := 1/\gamma'$  is a real Pisot number, root of  $X^3 - aX^2 - bX - 1$



# Beta-numeration

## ► $\beta$ -representation of $x \in \mathbb{R}_+$ :

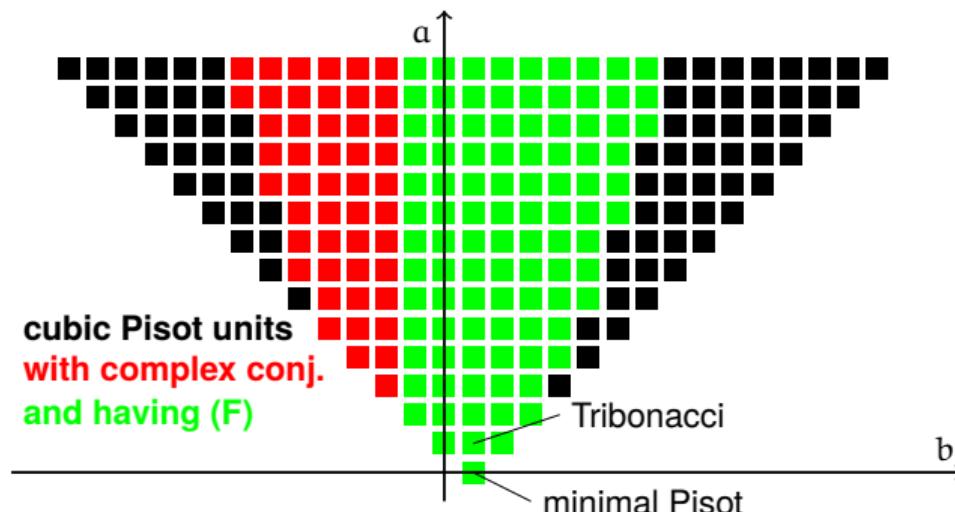
- ▶ a string  $a_N \dots a_0 \bullet a_{-1} \dots$ , with  $a_j \in \mathbb{N}$  such that  $\sum a_j \beta^j = x$
- ▶ Rényi expansion: the lexicographically largest  $\beta$ -representation
- ▶  $\beta$  satisfies Property (F) if all  $x \in \mathbb{Z}[\beta]$  have finite Rényi expansion
- ▶ Frougny, Solomyak, Akiyama: description of cubic units with (F)



# Beta-numeration

- $\beta$ -representation of  $x \in \mathbb{R}_+$ :

- ▶ a string  $a_N \dots a_0 \bullet a_{-1} \dots$ , with  $a_j \in \mathbb{N}$  such that  $\sum a_j \beta^j = x$
- ▶ Rényi expansion: the lexicographically largest  $\beta$ -representation
- ▶  $\beta$  satisfies Property (F) if all  $x \in \mathbb{Z}[\beta]$  have finite Rényi expansion
- ▶ Frougny, Solomyak, Akiyama: description of cubic units with (F)



# Cut-and-project sets

## Theorem

Let  $\gamma$  be a CCPU and suppose  $\beta := 1/\gamma'$  satisfies (F). Let  $m \geq \beta$  and  $x \in \mathbb{Z}[\gamma]$ . Then the following statements are equivalent:

- 1  $x \in X^m(\gamma)$
- 2  $x'$  has a finite  $\beta$ -representation  $a_0.a_1 \dots a_k$  with  $0 \leq a_j \leq m$
- 3  $x' \in [0, m\beta/(\beta - 1))$

- ▶ 1  $\Leftrightarrow$  2 elementary
- ▶ 2  $\Rightarrow$  3 elementary

# Cut-and-project sets

## Theorem

Let  $\gamma$  be a CCPU and suppose  $\beta := 1/\gamma'$  satisfies (F). Let  $m \geq \beta$  and  $x \in \mathbb{Z}[\gamma]$ . Then the following statements are equivalent:

- 1  $x \in X^m(\gamma)$
- 2  $x'$  has a finite  $\beta$ -representation  $a_0.a_1 \dots a_k$  with  $0 \leq a_j \leq m$
- 3  $x' \in [0, m\beta/(\beta - 1))$

► 3  $\Rightarrow$  2:

$$x = m.a_m.m \dots m.b.a_0.a_1.a_2 \dots a_k$$

with  $0 \leq b < m$  and  $0 \leq a_j < \beta$

we have that  $a_0.a_1.a_2 \dots a_k < 1$  and we use (F)

# Cut-and-project sets

## Theorem

Let  $\gamma$  be a CCPU and suppose  $\beta := 1/\gamma'$  satisfies (F). Let  $m \geq \beta$  and  $x \in \mathbb{Z}[\gamma]$ . Then the following statements are equivalent:

- 1  $x \in X^m(\gamma)$
- 2  $x'$  has a finite  $\beta$ -representation  $a_0 \bullet a_1 \cdots a_k$  with  $0 \leq a_j \leq m$
- 3  $x' \in [0, m\beta/(\beta - 1))$

We have:

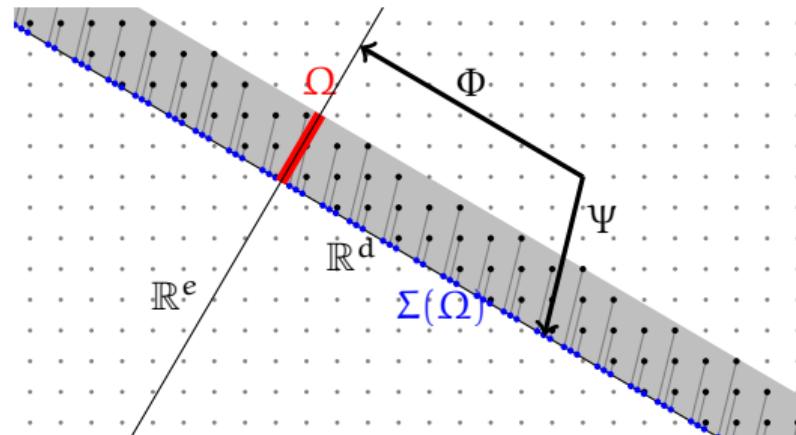
$$X^m(\gamma) = \{x \in \mathbb{Z}[\gamma] : x' \in \Omega\} \quad \text{where} \quad \Omega = \left[0, \frac{m}{1 - \gamma'}\right)$$

## Cut-and-project sets

$$X^m(\gamma) = \{x \in \mathbb{Z}[\gamma] : x' \in \Omega\} \quad \text{where} \quad \Omega = \left[0, \frac{m}{1-\gamma'}\right)$$

Cut-and-project sets:

- ▶ lattice  $\mathbb{Z}^{d+e}$
- ▶ linear map  $\Psi : \text{lattice} \rightarrow \mathbb{R}^d$  of full rank  $d$ , and injective.
- ▶ linear map  $\Phi : \text{lattice} \rightarrow \mathbb{R}^e$  such that  $\Phi(\mathbb{Z}^{d+e})$  is dense.
- ▶ cut-and-project set  $\Sigma(\Omega) := \{\Psi(v) : v \in \mathbb{Z}^{d+e}, \Phi(v) \in \Omega\}$

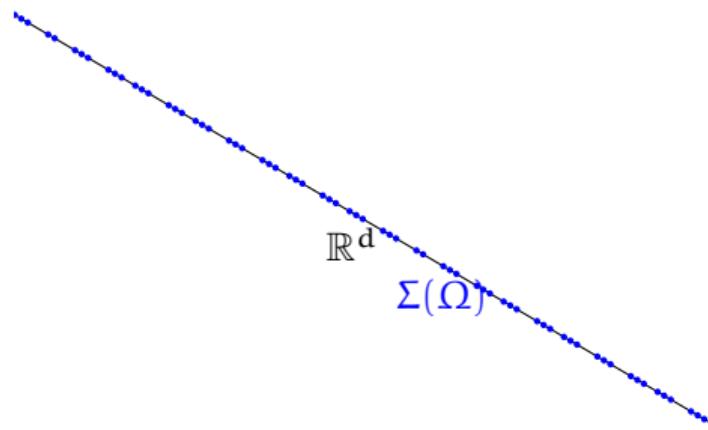


## Cut-and-project sets

$$X^m(\gamma) = \{x \in \mathbb{Z}[\gamma] : x' \in \Omega\} \quad \text{where} \quad \Omega = \left[0, \frac{m}{1-\gamma'}\right)$$

Cut-and-project sets:

- ▶ lattice  $\mathbb{Z}^{d+e}$
- ▶ linear map  $\Psi$ : lattice  $\rightarrow \mathbb{R}^d$  of full rank  $d$ , and injective.
- ▶ linear map  $\Phi$ : lattice  $\rightarrow \mathbb{R}^e$  such that  $\Phi(\mathbb{Z}^{d+e})$  is dense.
- ▶ cut-and-project set  $\Sigma(\Omega) := \{\Psi(v) : v \in \mathbb{Z}^{d+e}, \Phi(v) \in \Omega\}$



## Cut-and-project sets

Properties of cut-and-project sets:

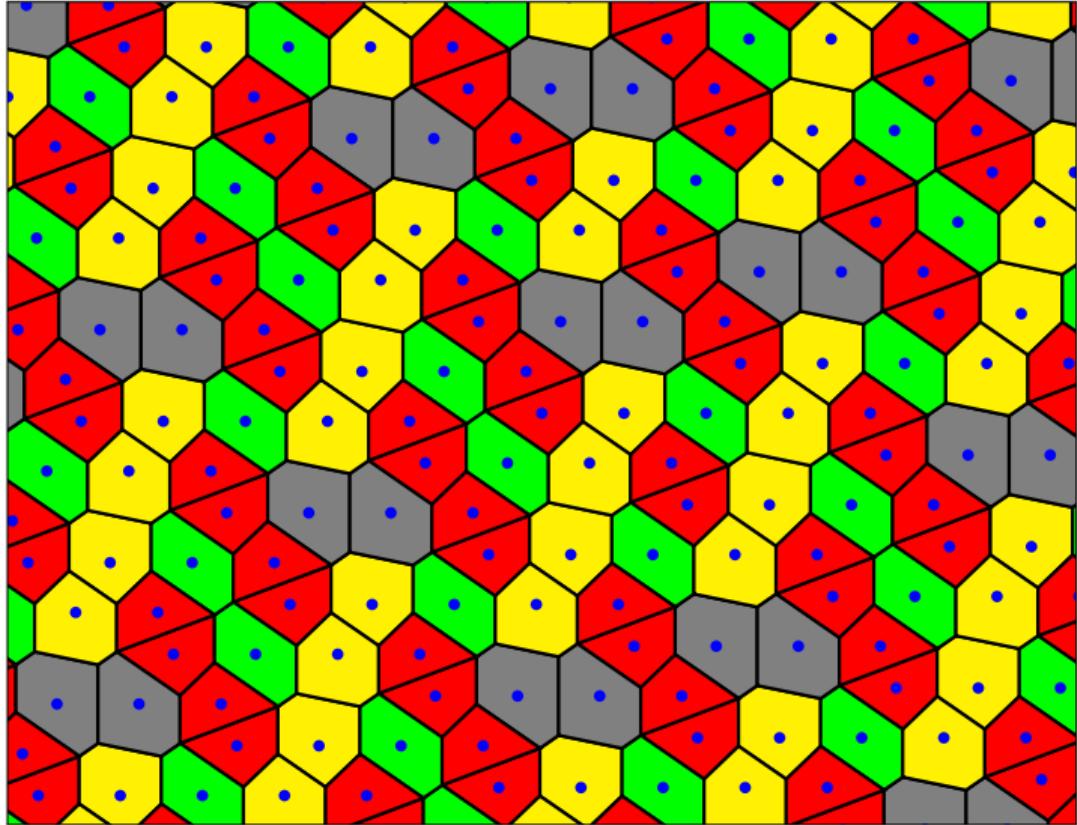
- ▶ Delone sets (uniformly discrete, relatively dense)
- ▶ (almost) repetitive
- ▶ finite local complexity (and patches are easily enumerable)
- ▶ patches change just a little when  $\Omega$  changes

$$\Omega = [0, m/(1 - \gamma')) \quad m \in \mathbb{N}$$

- ▶ in our case self-similar:  $\gamma\Sigma(\Omega) = \Sigma(\gamma'\Omega)$

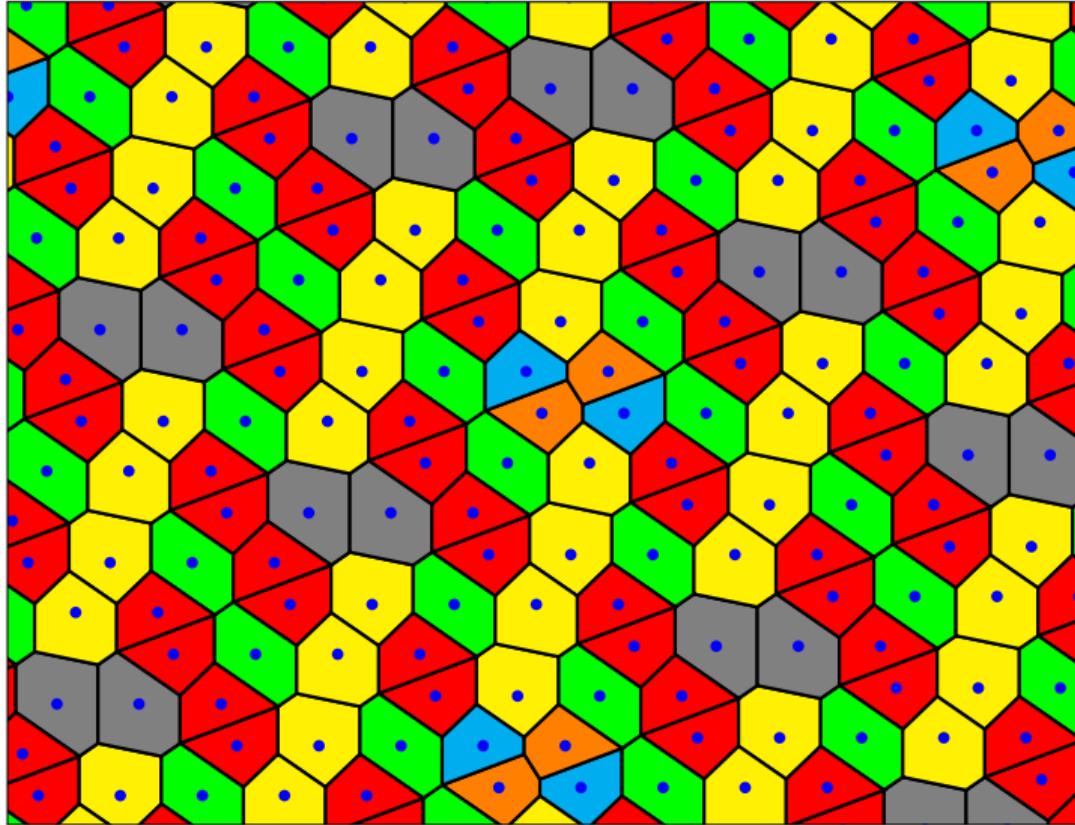
## Example

- ▶  $\gamma^3 + \gamma^2 + \gamma - 1 = 0$
- ▶  $\gamma \approx -0.771 + 1.115i$
- ▶  $\Omega = [0, C], C = 2/(1 - \gamma')$
- ▶ the set  $X^2(\gamma)$



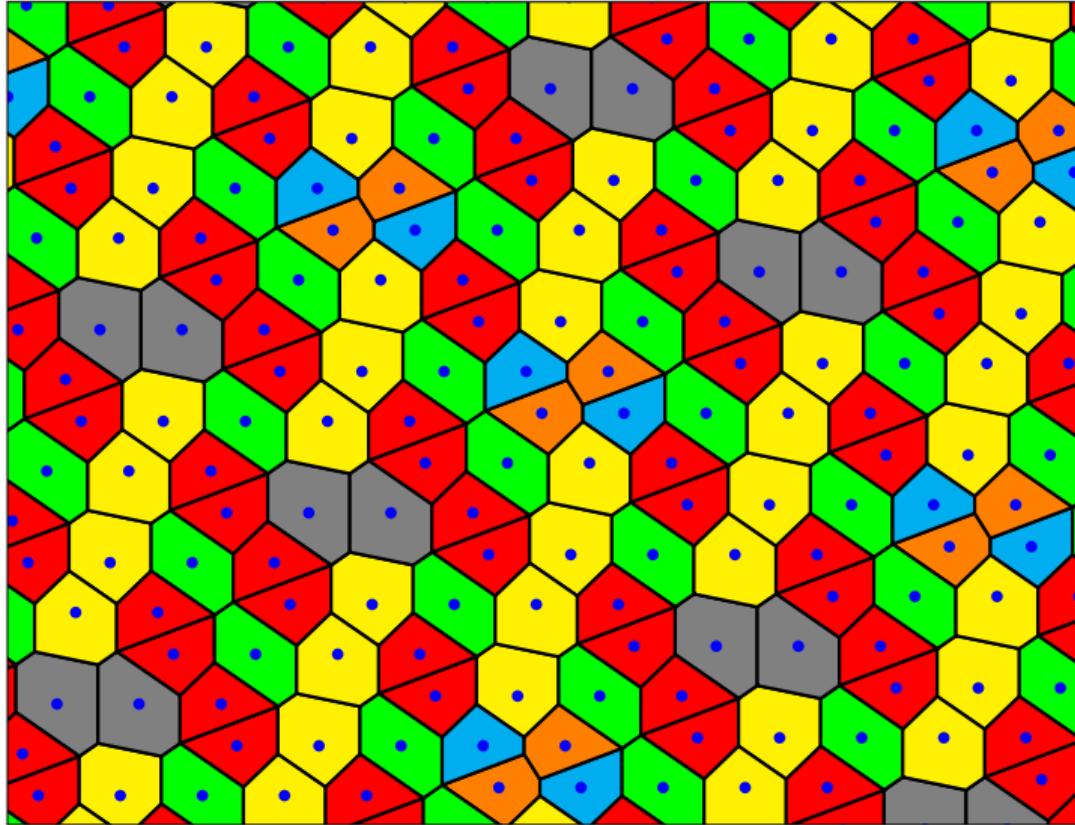
## Example

- ▶  $\gamma^3 + \gamma^2 + \gamma - 1 = 0$
- ▶  $\gamma \approx -0.771 + 1.115i$
- ▶  $\Omega = [0, 1.015C)$



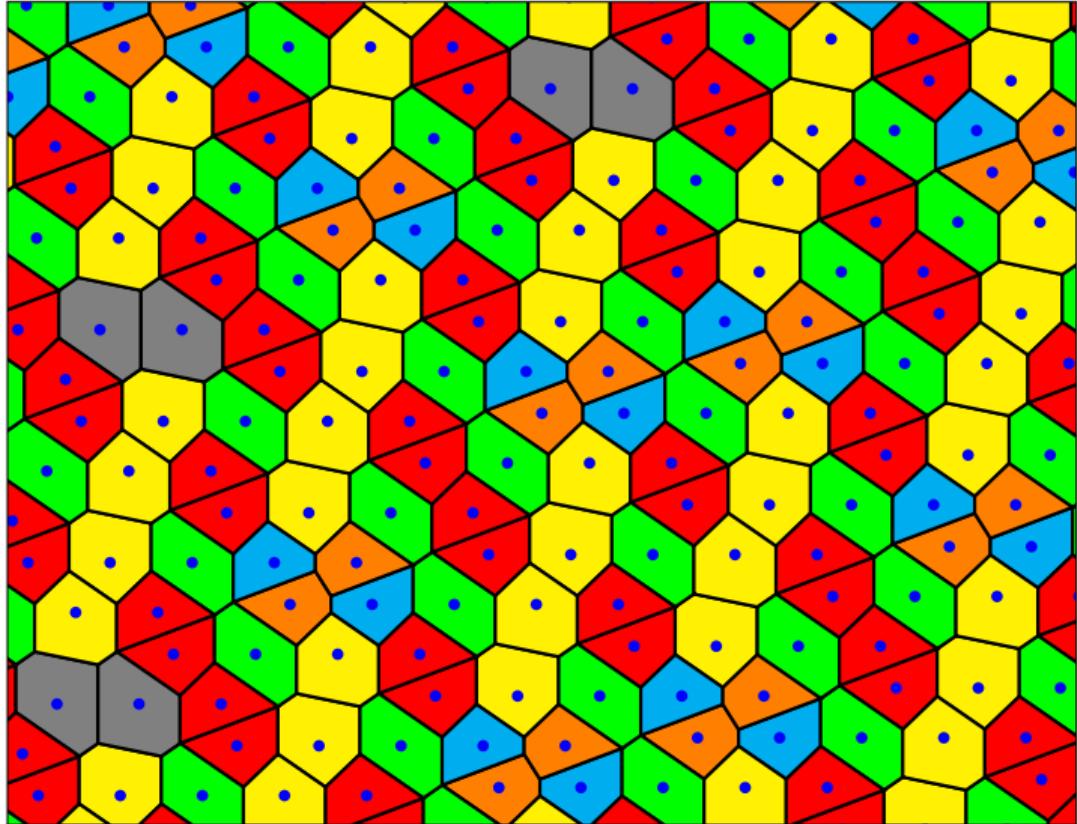
## Example

- ▶  $\gamma^3 + \gamma^2 + \gamma - 1 = 0$
- ▶  $\gamma \approx -0.771 + 1.115i$
- ▶  $\Omega = [0, 1.025C)$



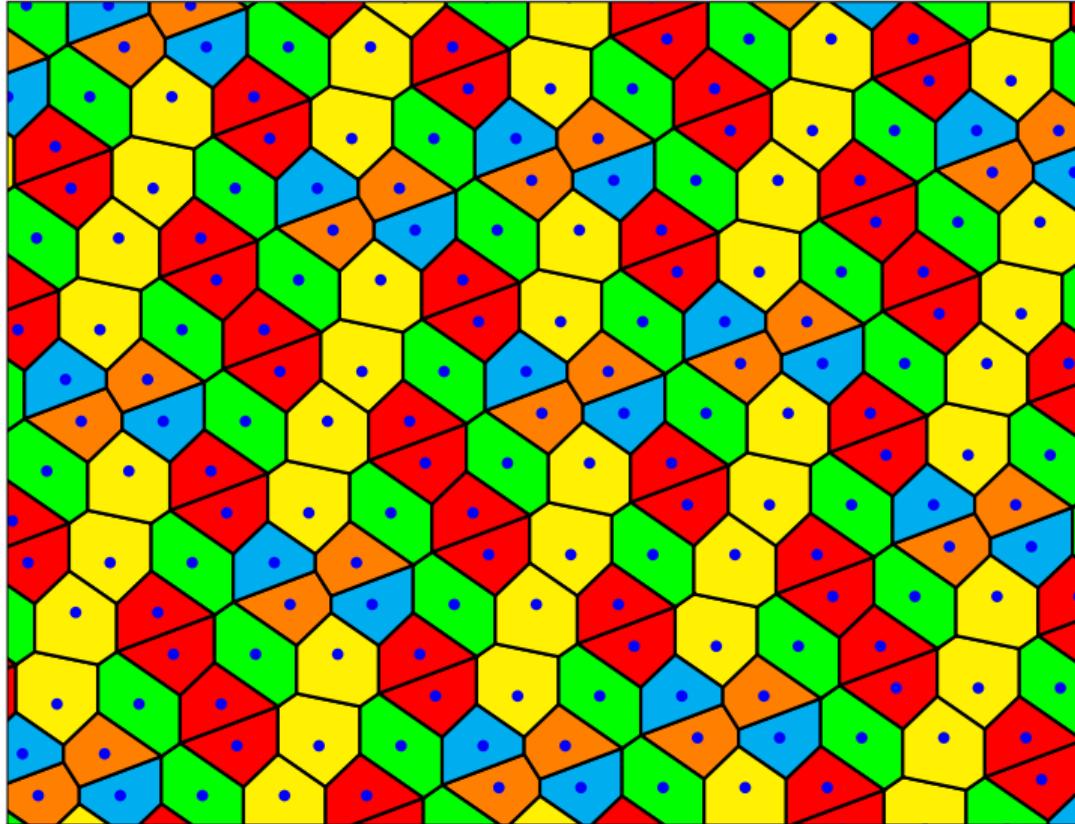
## Example

- ▶  $\gamma^3 + \gamma^2 + \gamma - 1 = 0$
- ▶  $\gamma \approx -0.771 + 1.115i$
- ▶  $\Omega = [0, 1.05C)$



## Example

- ▶  $\gamma^3 + \gamma^2 + \gamma - 1 = 0$
- ▶  $\gamma \approx -0.771 + 1.115i$
- ▶  $\Omega = [0, 1.065C)$

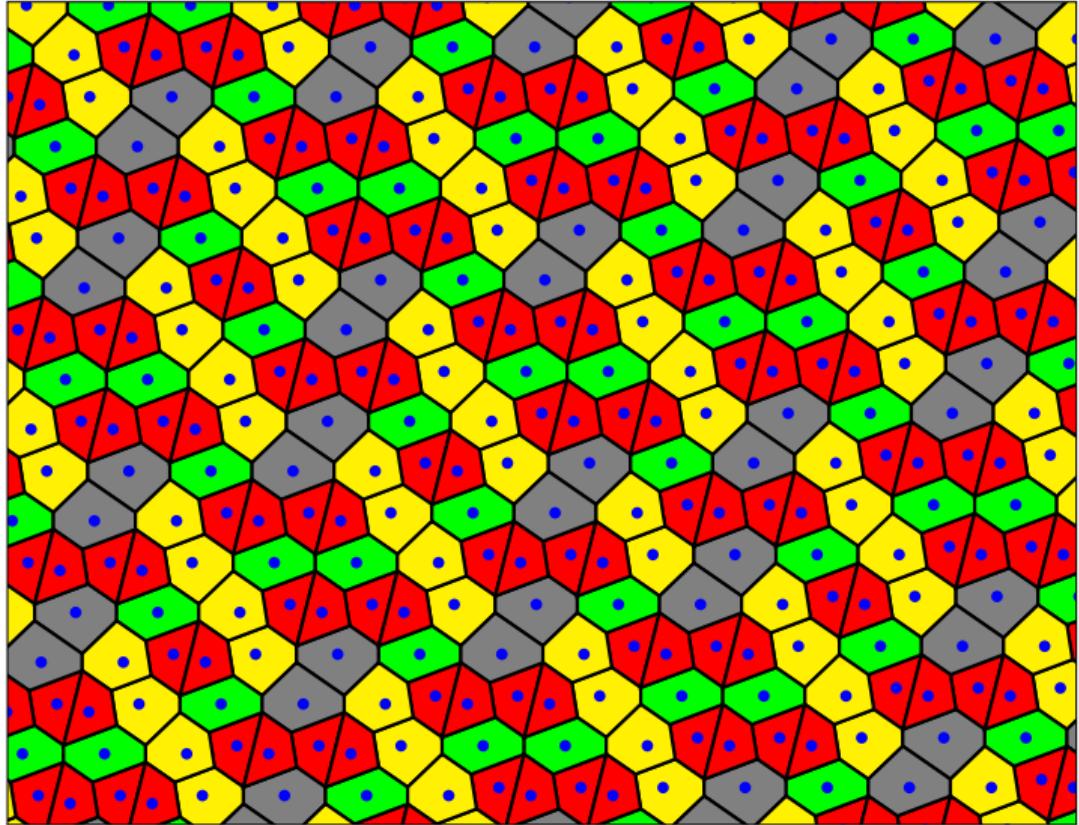


## Example

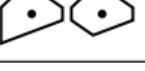
- ▶  $\gamma^3 + \gamma^2 + \gamma - 1 = 0$  etc.
- ▶  $\gamma \approx -0.771 + 1.115i$

## Example

- ▶  $\gamma^3 + \gamma^2 + \gamma - 1 = 0$
- ▶  $\gamma \approx -0.771 + 1.115i$
- ▶  $\Omega = [0, (1/\gamma')C]$



# All tiles

Interval for $c$	The palette of $\Sigma(\Omega)$ , where $\Omega = [0, c)$				
$\beta^2$					
$(\beta^2, 2\beta)$					
$(2\beta, \beta + 2)$					
$(\beta + 2, \beta^2 + 1)$					
$(\beta^2 + 1, 2\beta + 1)$					
$(2\beta + 1, \beta^2 + \beta)$					
$(\beta^2 + \beta, \beta^2 + 2)$					
$(\beta^2 + 2, 2\beta + 2)$					
$(2\beta + 2, \beta^3)$					

## Values of $L_m$ and $\ell_m$

### Theorem

Let  $\gamma$  be the complex Tribonacci constant,  $\gamma^3 = -\gamma^2 - \gamma + 1$ . and  $m \in \mathbb{N}$ . Let  $k \in \mathbb{Z}$  be the maximal integer such that  $m \geq (1 - \gamma')(\gamma')^{-k}$ . Then

$$\ell_m(\gamma) = |\gamma|^{-k} \quad \text{and} \quad L_m(\gamma) = 2\sqrt{\frac{1 - (\gamma')^2}{3 - (\gamma')^2}}|\gamma|^{3-k}.$$

- ▶ Question: When is  $\ell_m(\gamma)$  a unit?
- ▶ Conjectured answer: For our case (CCPU and  $1/\gamma'$  has (F)) always.

# Conclusions

- + We know that  $m + 1 < |\gamma|^2$  implies  $X^m(\gamma)$  not relatively dense
  - + We determine  $\ell^m(\gamma)$  and  $L^m(\gamma)$  simultaneously for all  $m$  for a large class of cubic numbers
- i** Tools:
- i** Cut-and-project sets
  - i** Voronoi tiles
  - i** Beta-numeration
- ?** What to do when Property (F) is missing?
- + forget Rényi expansions and use general representations
- ?** Are cubic units the only cut-and-project case?
- + Some  $\gamma = i\sqrt{\beta}$  with  $\beta$  real Pisot fit into the scheme as well
  - ? What about non-units? ( $p$ -adic places?)